

Recall: $SL_2(\mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : ad - bc = 1, a, b, c, d \in \mathbb{Z} \right\}$

Two quadratic forms $Q_1 = \begin{pmatrix} a_1 & b_1/2 \\ b_1/2 & c_1 \end{pmatrix}$ and $Q_2 = \begin{pmatrix} a_2 & b_2/2 \\ b_2/2 & c_2 \end{pmatrix}$

are equivalent if $Q_1 = {}^t g Q_2 g$ for some $g \in SL_2(\mathbb{Z})$.

Let $Q(x, y) = ax^2 + bxy + cy^2$ be a quadratic form.

Let $n \geq 1$ be an integer. Set

$$R_Q(n) := \# \left\{ \begin{pmatrix} x \\ y \end{pmatrix} \in \mathbb{Z}^2 : Q(x, y) = n \right\}$$

$$R_Q^*(n) := \# \left\{ \begin{pmatrix} x \\ y \end{pmatrix} \in \mathbb{Z}^2 : Q(x, y) = n, (x, y) = 1 \right\}$$

Definition: Let $n \geq 1$, We say that n is representable by Q if $R_Q(n) > 0$.

Observation: Let Q_1 and Q_2 be two equivalent quadratic forms. Then $R_{Q_1}(n) = R_{Q_2}(n)$.

Proof: $Q_1 \sim Q_2 \Rightarrow Q_1 = \begin{pmatrix} a_1 & b_1/2 \\ b_1/2 & c_1 \end{pmatrix} = {}^t g \begin{pmatrix} a_2 & b_2/2 \\ b_2/2 & c_2 \end{pmatrix} g = {}^t g Q_2 g$

We also have: $Q_1(x, y) = (x, y) \begin{pmatrix} a_1 & b_1/2 \\ b_1/2 & c_1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$

$$Q_2(x, y) = (x, y) \begin{pmatrix} a_2 & b_2/2 \\ b_2/2 & c_2 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

Then for $n \geq 1$, we have a bijection:

$$\left\{ \begin{pmatrix} x \\ y \end{pmatrix} : R_{Q_1}(x,y) = n \right\} \longrightarrow \left\{ \begin{pmatrix} x \\ y \end{pmatrix} : R_{Q_2}(x,y) = n \right\}$$

$$(*) \quad \begin{pmatrix} x \\ y \end{pmatrix} \longmapsto g \begin{pmatrix} x \\ y \end{pmatrix}$$

with inverse map: $g^{-1} \begin{pmatrix} x \\ y \end{pmatrix} \longleftarrow \begin{pmatrix} x \\ y \end{pmatrix}$

We only check $(*)$: if $\begin{pmatrix} x \\ y \end{pmatrix} \in \left\{ \begin{pmatrix} x \\ y \end{pmatrix} : Q_1(x,y) = n \right\}$

then $(x,y) Q_1 \begin{pmatrix} x \\ y \end{pmatrix} = n$

We have $Q_1 = {}^t g Q_2 g \Rightarrow {}^t \left(g \begin{pmatrix} x \\ y \end{pmatrix} \right) Q_2 g \begin{pmatrix} x \\ y \end{pmatrix} = n$

$\Rightarrow g \begin{pmatrix} x \\ y \end{pmatrix} \in \left\{ \begin{pmatrix} x \\ y \end{pmatrix} : Q_2(x,y) = n \right\} \quad \square.$

Remark: If $Q_1 \sim Q_2$, then $R_{Q_1}^*(n) = R_{Q_2}^*(n)$.

Lemma: Every quadratic form is equivalent to some quadratic form $[a,b,c]$ with $|b| \leq |a| \leq |c|$.

Proof: We start with $Q_0 = [a_0, b_0, c_0]$

Take a s.t. $|b| \leq |a| \leq |c|$.

$$(2) |a| = \min \{ |n| : n \neq 0, R_{\mathbb{Q}}(n) \geq 1 \}$$

Then we can find $\alpha, \beta \in \mathbb{Z}$ s.t.

$$a = Q_0(\alpha, \gamma) = a_0 \alpha^2 + b_0 \alpha \gamma + c_0 \gamma^2$$

We can assume $(\alpha, \gamma) = 1$. Otherwise, $\frac{a}{(\alpha, \gamma)^2}$ is also representable by Q_0 and $\frac{a}{(\alpha, \gamma)^2} < a$.

$(\alpha, \gamma) = 1$, then we can find $\beta, \delta \in \mathbb{Z}$ s.t. $\alpha \delta - \beta \gamma = 1$

In other words $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in SL_2(\mathbb{Z})$.

Then we consider

$$Q_0 \sim Q' = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} Q_0 \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \begin{pmatrix} a' & b'/2 \\ b'/2 & c' \end{pmatrix}$$

$$\text{check: } a' = (\alpha \ \gamma) Q_0 \begin{pmatrix} \alpha \\ \gamma \end{pmatrix} = a$$

$$\Rightarrow Q' = [a, b', c']$$

Next, we consider $g = \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \in SL_2(\mathbb{Z})$.

$$Q = {}^t_g \begin{pmatrix} a & b'/2 \\ b'/2 & c' \end{pmatrix} g = \begin{pmatrix} 1 & 0 \\ h & 1 \end{pmatrix} \begin{pmatrix} a & b'/2 \\ b'/2 & c' \end{pmatrix} \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix}$$

$$\stackrel{S}{Q'} = \begin{pmatrix} a & b'/2 + ah \\ b'/2 + ah & ah^2 + hb' + c' \end{pmatrix}$$

$$\stackrel{S}{Q_0} = \begin{pmatrix} a & b/2 \\ b/2 & c \end{pmatrix}$$

Then $b = b' + 2ah$.

By choosing h properly, we can make $|b| \leq |a|$

$$\text{Taking } \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad (1 \ 0) Q \begin{pmatrix} 1 \\ 0 \end{pmatrix} = c$$

$\Rightarrow c$ is representable by Q $Q \sim Q' \sim Q_0$

$\Rightarrow c$ is representable by Q_0

By the choice of a , $|a| \leq |c|$

This proves $|b| \leq |a| \leq |c|$

□

Corollary: Let d be a fundamental discriminant. Then there are only finitely many inequivalent quadratic forms of discriminant d .

Proof: By the lemma, the number of such quadratic forms

$$\leq \underbrace{\# \{ [a, b, c] : b^2 - 4ac = d, |b| \leq |a| \leq |c| \}}_{Q(d)}$$

Take $[a, b, c] \in Q(d)$, then: $4ac = b^2 - d$

$$4a^2 \leq 4|a| \cdot |c| \leq |b|^2 + |d| \leq |a|^2 + |d|$$

$$\Rightarrow |a| \leq \sqrt{\frac{|d|}{3}} \Rightarrow |b| \leq \sqrt{\frac{|d|}{3}}$$

$$\Rightarrow |C| = \left| \frac{b^2 - d}{4a} \right| \leq \frac{\frac{d^2}{3} + d}{4}$$

\Rightarrow There are only finitely many choices for a, b, C

$$\Rightarrow \#(Q|d) < \infty.$$

Definition: A quadratic form $Q = [a, b, C]$ is primitive if $(a, b, C) = 1$.

An equivalent class of quadratic forms is primitive if the class contains one primitive quadratic form.

Fact: If an equivalent class is primitive, then all quadratic forms in the class are primitive.

Definition: Let d be a fundamental discriminant.

Then

$$h(d) := \begin{cases} \# \{ \text{inequivalent primitive positive definite classes of quadratic forms} \} & d < 0 \\ \# \{ \text{inequivalent primitive indefinite classes of quadratic forms} \} & d > 0 \end{cases}$$

Observation: $h(d) \geq 1$, since

- $[1, 1, -\frac{1}{4}(d-1)]$ $d \equiv 1 \pmod{4}$
- $[1, 0, -\frac{1}{4}d]$ otherwise.

This is called the principal form of discriminant d .

Class number formula.

Let d be a fundamental discriminant. Then there is a unique primitive non principal Dirichlet character $\chi_d \pmod{|d|}$

$$\chi_d(n) = \left(\frac{d}{n}\right) \rightarrow \text{Kronecker symbol.}$$

Then we have the Dirichlet L -function $L(s, \chi_d)$, $s > 1$

$$L(s, \chi_d) = \sum_{n \geq 1} \frac{\chi_d(n)}{n^s} = \sum_{n \geq 1} \frac{\left(\frac{d}{n}\right)}{n^s}$$

In Part II, we showed, $L(s, \chi_d)$ is continuously differentiable in $(0, \infty)$. Therefore $L(1, \chi_d)$ is well defined.

Theorem (Class number formula)

- If $d < 0$, $L(1, \chi_d) = \frac{2\pi}{w\sqrt{|d|}} h(d)$
- If $d > 0$, $L(1, \chi_d) = \frac{\log \varepsilon_d}{\sqrt{d}} h(d)$

Here

$$w = \begin{cases} 2 & \text{if } d < -4 \\ 4 & \text{if } d = -4 \\ 6 & \text{if } d = -3 \end{cases}$$

and

$\xi_d = \frac{1}{2} (x_0 + y_0 \sqrt{d}) \neq 1$ with $(x_0, y_0) \in \mathbb{Z}_{>0} \times \mathbb{Z}_{>0}$
 is the minimal solution for $x^2 - dy^2 = 4$.

Remark: We showed: $h(d) \geq 1$. This implies:

$L(1, \chi_d) \neq 0$ for any real primitive characters

This proves Dirichlet's Theorem.

For simplicity, we will focus on the case $d < 0$.

Recall: let F be a number field. and $H(F)$ its class group.

Let F be a quadratic field. Then we can find a fundamental discriminant d s.t. $F = \mathbb{Q}(\sqrt{d})$

Then set: $h_d = \# H(\mathbb{Q}(\sqrt{d}))$

Theorem: There is a bijection:

$\left\{ \begin{array}{l} \text{inequivalent primitive} \\ \text{classes of quadratic forms} \\ \text{of discriminant } d \end{array} \right\} \begin{array}{l} \text{positive-definite} \\ \text{indefinite} \\ d < 0 \\ d > 0 \end{array} \longleftrightarrow \left\{ \begin{array}{l} \text{fractional ideals} \\ (\text{mod principal fractional ideals}) \\ \text{in } \mathbb{Q}(\sqrt{d}) \end{array} \right\}$

This implies: $h(d) = h_d$.

Combine two theorems, and we obtain:

Theorem (Class number formula)

- If $d < 0$, $L(1, \chi_d) = \frac{2\pi}{w\sqrt{|d|}} h_d$
- If $d > 0$, $L(1, \chi_d) = \frac{\log \varepsilon_d}{\sqrt{d}} h_d$

$$(1) \quad h_d \geq 1 \Rightarrow L(1, \chi_d) \neq 0$$

(2) $L(s, \chi_d)$ is continuous at $s=1 \Rightarrow L(1, \chi_d)$ is bounded
 $\Rightarrow h_d$ is finite.

This proves that $H(\mathbb{Q}(\sqrt{d}))$ is a finite group.