

# The Pigeonhole Principle.

Suppose that there are  $n+1$  pigeons but  $n$  pigeonholes, then at least one pigeonhole contains more than one pigeon.

## Theorem 33.1 Dirichlet's Diophantine Approximation Theorem.

Let  $D$  be a positive integer that is not a square.

Then there are infinitely many pairs of positive integers

$(x, y)$  such that

$$|x - y\sqrt{D}| < \frac{1}{y}.$$

Remark: (1) When  $D$  is not a square,  $\sqrt{D}$  is irrational.

This is the only place where we use that  $D$  is not a square. Indeed, this theorem

can be generalized to any irrational numbers

(2) Here is another way to write

$$|x - y\sqrt{D}| < \frac{1}{y}$$

that is:  $\left| \frac{x}{y} - \sqrt{D} \right| < \frac{1}{y}.$

This means: for  $\sqrt{D}$  (irrational), we can always find rational numbers  $\frac{x}{y}$  that is close to  $\sqrt{D}$ .  
That's why we say this is an "approximation."

Proof of Theorem: Let  $Y$  be a large integer.

We investigate:

$Y+1$   
numbers.

$$\begin{aligned} 0 \cdot \sqrt{D} &= N_0 + F_0 & N_0 &= 0, F_0 \\ 1 \cdot \sqrt{D} &= N_1 + F_1 & N_1 & \text{integer, } 0 \leq F_1 < 1 \\ & & & (N_1 = \lfloor \sqrt{D} \rfloor) \\ 2 \cdot \sqrt{D} &= N_2 + F_2, & N_2 & \text{integer, } 0 \leq F_2 < 1 \\ & & & (N_2 = \lfloor 2\sqrt{D} \rfloor) \\ & \dots & & \\ Y \cdot \sqrt{D} &= N_Y + F_Y & N_Y & \text{integer, } 0 \leq F_Y < 1 \\ & & & (N_Y = \lfloor Y\sqrt{D} \rfloor) \end{aligned}$$

$\Rightarrow F_0, F_1, \dots, F_Y$  are  $Y+1$  integers (pigeons) in  $[0, 1)$ .

We divide  $[0, 1)$  into several smaller intervals (pigeonholes)

$$[0, \frac{1}{Y}), [\frac{1}{Y}, \frac{2}{Y}), [\frac{2}{Y}, \frac{3}{Y}), \dots, [\frac{Y-1}{Y}, 1)$$

$F_0, F_1, \dots, F_Y$  ( $Y+1$  pigeons) must fall into.

$Y$  intervals ( $Y$  pigeonholes)

By Pigeonhole principle, we can find  $0 \leq m < n \leq Y$  such that  $F_m$  and  $F_n$  is the same interval (same pigeon hole)

This shows:  $|F_m - F_n| < \frac{1}{Y}$

since each interval is of length  $\frac{1}{Y}$ .

$$\begin{aligned} m\sqrt{D} &= N_m + F_m \Rightarrow F_m = m\sqrt{D} - N_m \\ n\sqrt{D} &= N_n + F_n \Rightarrow F_n = n\sqrt{D} - N_n \end{aligned} \quad \begin{array}{l} \text{and} \\ N_m < N_n \end{array}$$

$$\Rightarrow |F_m - F_n| = |(N_n - N_m) - (n-m)\sqrt{D}| < \frac{1}{Y}$$

Then set  $x = N_n - N_m$  and  $y = n - m$

Since  $0 \leq m < n \leq Y \Rightarrow y = n - m \leq Y \Rightarrow y \leq Y \Rightarrow \frac{1}{Y} < \frac{1}{y}$ .

This becomes  $|x - y\sqrt{D}| < \frac{1}{y}$ .

We next show: there are infinitely many pairs. Suppose not, we can find  $(x_1, y_1) \dots (x_n, y_n)$  with

$$|x_i - y_i \sqrt{D}| < \frac{1}{y_i} \quad i=1, 2, \dots, n$$

Then we can find a  $\alpha$  such that:

$$|x_1 - y_1 \sqrt{D}|, |x_2 - y_2 \sqrt{D}|, \dots, |x_n - y_n \sqrt{D}| > \alpha.$$

Then we can find an integer  $\gamma'$  such that

$$\frac{1}{\gamma'} > \alpha$$

We run the argument above before, and we can

find  $(x_0, y_0)$

$$|x_0 - y_0 \sqrt{D}| < \frac{1}{y_0} < \frac{1}{\gamma'} < \alpha$$

The last " $<$ " guarantees that  $(x_0, y_0)$  is a new pair.

A contradiction!

□

Next, we replace  $\sqrt{D}$  by any irrational integers:

Theorem 33.2 (Dirichlet's Diophantine Approximation Theorem)

Let  $\alpha > 0$  be an irrational number. Then there are infinitely many pairs of positive integers  $(x, y)$  such that

$$|x - y\alpha| < \frac{1}{y}.$$